



A BNY Securities Group Co.
Solutions from The Bank of New York

HARBOUR INVESTMENTS, INC. Service Fees

Trades entered on Net-x Pro by the Rep

Trades called into the Harbour Trade Desk

Equities

Listed Equities	\$20 + .015 per share + (.0008 x transaction amt)	\$25 + .015 per share + (.0008 x transaction amt)
OTC Equities	\$20 + (.0008 x transaction amount)	\$25 + (.0008 x transaction amount)
Equity Trades w/ principal over \$80,000	\$20 + (.0004 x transaction amount) + .015 per share	\$25 + (.0004 x transaction amount) + .015 per share
Options equity/index ***	\$20 + \$1.75 per contract	\$25 + \$1.75 per contract

Fixed Income

CDs, UITs, Corp Bonds, OTC, Treasuries, & Munis	\$30	\$35
Listed Bonds	30 + \$1 per a Bond	35 + \$1 per a Bond
Agency Bonds	\$40	\$45

Mutual Funds

Load/No-Load Purchase & redemptions ****	\$13	\$18
Fund-Vest Purchase & Redemptions	\$0**	\$0**
Mutual Fund Exchanges	\$10	\$15
Mutual Fund Dollar	\$3	\$3

Cost Averaging

**These charges represent the standard cost of the transaction.*

Harbour reserves the right to pass through additional charges.

*** FundVest charge for redemption prior to holding 6 months- \$52.00*

****Option Assignments \$10 in addition to regular charges (Based on the stock trade of the assignment.)*

***** Non Fund Serve trades have higher fees, these will be passed on to the rep.*

Cancel / Rebill \$20.00 Minimum Cost to fix

Busted Trade \$10.00 Minimum Cost to fix

** Fees Subject to Change*

Revised 11/16/2005