

PERSHING ROUTING DISCLOSURE AS OF 09/30/2011

Venue	Description	Total Orders	Total %	Market Orders	Market %	Limit Orders	Limit %	Other Orders	Other %
		100.0%	1281	74.0%	948	19.7%	252	6.3%	81
PERT	PERSHING LLC	78.2%	1002	77.3%	733	82.5%	208	75.3%	61
TRIM	KNIGHT CAPITAL MARKETS INC	7.8%	100	7.3%	69	6.3%	16	18.5%	15
AUTO	AUTOMATED TRADE DESK	5.5%	71	5.8%	55	5.2%	13	3.7%	3
UBSS	UBS SECURITIES	4.4%	57	4.7%	45	4.0%	10	2.5%	2
CDRG	CITADEL DERIVATIVES GROUP	4.0%	51	4.9%	46	2.0%	5	0.0%	0
		100.0%	438	81.7%	358	13.7%	60	4.6%	20
PERT	PERSHING LLC	84.2%	369	83.0%	297	90.0%	54	90.0%	18
NITE	KNIGHT EQUITY MARKETS LP	8.0%	35	8.4%	30	8.3%	5	0.0%	0
UBSS	UBS SECURITIES	5.9%	26	6.4%	23	1.7%	1	10.0%	2
AUTO	AUTOMATED TRADE DESK	1.8%	8	2.2%	8	0.0%	0	0.0%	0
		100.0%	1166	78.0%	910	15.3%	178	6.7%	78
PERT	PERSHING LLC	62.4%	728	71.2%	648	28.7%	51	37.2%	29
TRIM	KNIGHT CAPITAL MARKETS LP	12.6%	147	9.5%	86	27.5%	49	15.4%	12
UBSS	UBS SECURITIES	10.3%	120	7.4%	67	20.8%	37	20.5%	16
AUTO	AUTOMATED TRADE DESK	7.5%	88	4.8%	44	17.4%	31	16.7%	13
CDRG	CITADEL DERIVATIVES GROUP	7.0%	82	7.1%	65	5.1%	9	10.3%	8
NSDQ	NASDAQ EXCHANGE	0.1%	1	0.0%	0	0.6%	1	0.0%	0
		100.0%	277	47.3%	131	52.7%	146	0.0%	0
CBOE	CBOE EXCHANGE	31.4%	87	26.0%	34	36.3%	53	0.0%	0
AMEX	NYSE AMEX EXCHANGE	22.0%	61	25.2%	33	19.2%	28	0.0%	0
PBWE	NASDAQ OMX PHLX	16.6%	46	20.6%	27	13.0%	19	0.0%	0
BATS	BATS EXCHANGE	9.7%	27	10.7%	14	8.9%	13	0.0%	0
ARCA	NYSE ARCA EXCHANGE	8.3%	23	2.3%	3	13.7%	20	0.0%	0
ISE	INTERNATIONAL SECURITIES EXCHANGE	6.9%	19	10.7%	14	3.4%	5	0.0%	0
NSDQ	NASDAQ EXCHANGE	3.2%	9	0.8%	1	5.5%	8	0.0%	0
BSE	BOSTON OPTION EXCHANGE	1.1%	3	2.3%	3	0.0%	0	0.0%	0
C2OX	C2 EXCHANGE	0.7%	2	1.5%	2	0.0%	0	0.0%	0